

F. No. 4/1/2022-PIU
Government of India
Ministry of Finance
Department of Economic Affairs
Infrastructure Finance Secretariat

5th Floor, Jawahar Vyapar Bhawan,
Tolstoy Marg, New Delhi
Dated 11th November, 2022

Office Memorandum

Record of Discussion of the 1st Meeting of the Approval Committee to consider granting approval for support under the IIPDF Scheme to the proposal for hiring of professional consultancy services for time bound assignment of Preparation of Outcome Reports for 2nd National Chief Secretaries Conference, received from IPP Division, DEA – reg.

The undersigned is directed to forward Record of Discussion of the of the 1st Meeting of the Approval Committee to consider granting approval for support under the IIPDF Scheme to the proposal for hiring of professional consultancy services for time bound assignment of Preparation of Outcome Reports for 2nd National Chief Secretaries Conference, received from IPP Division, DEA, held on 11th November, 2022 for information and necessary action.

2. This issues with the approval of Competent Authority.


(Dr. Kartik Agrawal)ⁿ 11/22
Deputy Director

To,

1. Sh. Partha Sarathi Reddy, Adviser, NITI Aayog
2. Sr. PPS to JS (ISD)
3. Sr. PPS to JS (IPP)
4. Sr. PPS to Director, IPP
5. Sr. PPS to Deputy Secretary, ISD

Record of Discussion of the 1st Meeting of the Approval Committee to consider granting approval for support under the India Infrastructure Project Development Fund (IIPDF) Scheme to the proposal for hiring of professional consultancy services for time bound assignment of Preparation of Outcome Reports for 2nd National Chief Secretaries Conference, received from IPP Division, Department of Economic Affairs (DEA).

1. The 1st Meeting of the Approval Committee (AC) chaired by the Joint Secretary, ISD Division, Department of Economic Affairs (DEA) was held on 11th November, 2022 at 10.30 AM to consider granting approval for support under the IIPDF Scheme to the proposal for hiring of professional consultancy services for time bound assignment of Preparation of Outcome Reports for 2nd National Chief Secretaries Conference, received from IPP Division, DEA. List of participants (attendees) is placed at **Annexure – I**.

2. The basic details of the proposal as submitted by the IPP Division, DEA is provided in the table below:

Sl. No.	Particulars	Response
I.	Project Name	Hiring of Consultant Firm on Single Source Tender/ Nomination basis for Preparation of Outcome Reports for 2nd National Chief Secretaries Conference.
II.	Purpose of Assistance	Preparation of three Outcome Reports for 2 tracks under Pillar 1 Sub-Theme 2.
III.	Project Sponsoring Authority	Department of Economic Affairs, Ministry of Finance
IV.	Need for the Project	DEA is the nodal department for Pillar 1 sub-theme 2 consisting of 2 tracks for which a detailed report (about 40 pages for each track) needs to be prepared basis state specific notes and inputs of experts, academia and various stakeholders.

Sl. No.	Particulars	Response
V.	Brief Project Description	The consultant shall perform the following activities: a. Prepare a total of three reports – two 40 Pg Reports on each Track and one 70 Pg consolidated Report for the Sub Theme. b. Collect Data from States/UTs, compile information in a coherent manner, design the reports within the time bound frame. c. Any other work relating to 2nd CS Conference which may be required to be done.
VI.	Proposed Milestones for the TAs along with Timelines and payment percentages (if in deviation to the milestones given in the IIPDF Scheme)	The milestones will be determined based on the requirements of the assignment which will evolve over the course of the assignment of providing Outcome Reports for the 2 nd CS Conference.
VII.	Likely impact(s) of the project:	The outcome reports will help in achieving the objective of 2 nd CS conference
VIII.	Total Estimated Cost of the assignment	Rs. 40 lakh
IX.	IIPDF contribution sought	Rs. 40 lakh

3. On behalf of the Chair, DD (PIU) welcomed the attendees to the 1st Meeting of the Approval Committee and informed that proposal has been received from Infrastructure Policy and Programme Division in the Department of Economic Affairs seeking funding of professional service assignment for the preparation of Outcome Reports for the 2nd CS Conference, to the tune of Rs.40 Lakh. Funding is sought under para 3.3 of the IIPDF Scheme viz., *“Additionally, costs incurred for any Seminar/Workshop/Infra Tech Challenge etc. organized by DEA for the promotion of PPPs can also be funded under IIPDF Scheme. Further, DEA may also use the funding under IIPDF Scheme for engaging Consultants/TAs for specific assignments of professional services including providing support to*

States/UTs. A maximum of 20% of the yearly budget as well as total allocation for the Scheme is earmarked for this purpose.”

4. The Approval Committee was apprised that under para 3.1 of the IIPDF Scheme, *“Funding under IIPDF Scheme can be for a maximum amount of Rs.5 Crore for a single proposal (Project TA/Seminar/Workshop/Professional Service Expenses, etc.).”* Further, under para 3.2 of the IIPDF Scheme, *“...Consultants/TAs are appointed through a transparent system of procurement including from the list of empaneled TAs of DEA or from the list of empaneled TAs of any other Central Ministry/Department or State Government or on nomination basis, in accordance with the applicable GFR Rules.”*
5. PIU, ISD informed that the proposal was duly circulated to all members of the Appraisal Committee. The proposal has also been examined in the light of the condition of the IIPDF Scheme and the proposal appears to fulfil the conditions and is submitted for the consideration of the Approval Committee. With the permission of the Chair, IPP Division, DEA was invited to make a presentation on the proposal.
6. IPP Division, DEA informed CEO NITI Aayog, vide DO letter dated 21.10.2022, informed that the 2nd National Chief Secretaries Conference is proposed to be held from 5th to 7th January 2023 with an overarching theme of “Viksit Bharat – Reaching the Last Mile”. As part of the overall theme, Department of Economic Affairs, Ministry of Finance is the nodal department for Pillar 1 having three distinct Sub Themes and in addition to this, DEA is also Nodal for Sub Theme - 2: Infrastructure & Investments consisting of 2 tracks:

Track 1 - Urban Infra, Urban Transport, Roads & Logistics, Power and Industrial Infrastructure

Track 2 - Private Investments in Manufacturing, Housing and Real Estate and Services

7. It was further informed by the IPP Division that as per the overall action plan/instructions circulated by NITI Aayog, which is leading the entire process, a detailed report (40 pages) is to be prepared by Central Nodal

Ministries/Departments for each Track of each Sub theme based on state specific notes and inputs of experts, academia and various stakeholders. Since DEA is the nodal department for Pillar 1 - Sub Theme - 2: Infrastructure & Investments, DEA has to prepare outcome report for each track, i.e. Track 1 and Track 2. These two reports are then to be consolidated into a single 70 Pg Report for the Sub Theme as a whole which will then be presented during the course of the 2nd CS Conference. All these are time bound tasks require professional services. All requisite administrative approvals of competent authority in this regard have already been taken by IPP Division and all relevant GFRs have been complied with. The funding of this professional service assignment to the tune of Rs.40 lakh is sought under IIPDF Scheme.

8. With the permission of Chair, the following was deliberated:

- i. NITI Aayog asked whether the cap on funding to the tune of 50% of the TA Cost in case of TA selected on nomination basis shall be applicable in this case?

To this, PIU, ISD informed that under the IIPDF Guidelines, para 6.6.2 applicable for funding of project development expenses for proposals mooted by Project Sponsoring Authorities (other than DEA) provides *“In case TA is selected through nomination basis by the PSA in accordance with PSA specific Rules and Regulation, and as per applicable GFRs, funding shall be 50% of total TA cost or up to Rs.5 Cr, whichever is less.”* However, in the instant proposal the funding is sought by DEA for professional services assignment which is governed by para 7 – *Other Funding from IIPDF* - of the IIPDF Guidelines and as such the above restrictions in para 6.6.2 are not applicable herein.

- ii. IPP Division inquired whether the disbursement shall be made to the TA directly as there is no distinct PSA in this case as the proposal is mooted by a Division of DEA itself.

To this, PIU, ISD informed that under para 8.3 of the IIPDF Guidelines, *“The PDEs (TA Cost) shall be disbursed to the PSA upon submission of request for release of funds by the PSA in the prescribed format (Annexure – III) in accordance with the milestones defined in the Scheme or as approved by*

the AC, as the case may be.” Since in the instant case the Project Sponsor and the funding provider is the same Administrative entity, viz., DEA, hence, this prescribed mechanism may not be applicable and in case of proposals mooted by DEA, funding shall be released directly to the TA/Consultant based on requests to this effect received in prescribed format from the user Division (IPP Division in this case).

9. Considering the above discussions, the Approval Committee unanimously decided to approve funding of Rs. 40 Lakh subject to following:
 - i. The actual disbursement shall be subject to the minimum of the amount quoted by the onboarded firm/consultant or Rs.40 Lakh, whichever is less.
 - ii. The disbursements shall be made directly to the onboarded firm/consultant upon requests received from IPP Division in the prescribed format.
 - iii. Payment shall be made after completion of the assignments.
10. The meeting ended with vote of thanks to the Chair.

Annexure – I

List of attendees of the 1st Meeting of the Approval Committee to consider granting approval for support under the IIPDF Scheme to the proposal for hiring of professional consultancy services for time bound assignment of Preparation of Outcome Reports for 2nd National Chief Secretaries Conference, received from IPP Division, DEA, held on 11th November, 2022.

1. Department of Economic Affairs, Ministry of Finance

1. Shri Baldeo Purushartha, JS(ISD) – in Chair
2. Sh. Mukesh Gupta, Director, IPP Division
3. Sh. R. Shivakumar, Deputy Secretary, ISD
4. Ms. Arya B. Kumari, Deputy Director
5. Sh. Bhupendra Goyal, Deputy Director, IPP Division
6. Dr. Kartik Agrawal, Deputy Director
7. Sh. Rohan Nair, OSD

2. NITI Aayog

1. Shri Partha Sarathi Reddy, Adviser (PPP)
2. Ms. Nidhi Arora, Legal Specialist